

# CAVU IMPACT PLEDGE

## *Making a difference - Together*

### 2021 ANNUAL REPORT

Dear Investors and Friends,

Starting in 2021, CAVU Securities partnered with Invesco to bring to market institutional money fund share classes in the Treasury, Government and Prime segments of the marketplace. Our intent was to continue to find ways to provide our clients best-in-class financial solutions that allow them to support diversity, equality, and inclusion initiatives in an easy, yet impactful way. We had an equally important goal and that was for these share classes to provide investors and CAVU with a way to make a difference. At CAVU, we have a core belief that we have a responsibility to find ways to give back to the community and that by working together with our clients and our partners we could make a positive impact in the minority, women and veteran communities. This fundamental belief combined with our product launch led us to create the CAVU Impact Pledge.

#### Helping Underserved Communities



CAVU CEO Greg Parsons

*(continued on page 3)*

---

---

## 2021 Grant Recipients

### DOG TAG INC.



Dog Tag Inc. strives to build a bridge to business employment and a productive civilian life for veterans and their families. Dog Tag’s “Learning Labs” provide real-life work experience for service-disabled veterans, military spouses, and caregivers in the organization’s small business venture, Dog Tag Bakery.

### VERA INSTITUTE OF JUSTICE



The Vera Institute of Justice partners with others to tackle the most pressing injustices of our day from the causes and consequence of mass incarceration, racial disparities, and the loss of public trust in law enforcement, to the unmet needs of the vulnerable, the marginalized, and those harmed by crime and violence.

### BLACK GIRLS CODE



Black Girls Code seeks to increase the number of women of color in the digital space by empowering girls of color to become innovators in STEM fields, leaders in their communities, and builders of their own futures through exposure to computer science and technology.

(continued from page 1)

## 2021: A Beginning and Growth

### CAVU Share Classes AUM (\$mm)



### Positive Impact

2021 presented a challenging year for rates, which impacted revenue earned on the money fund share classes. Even in such a tough environment we are proud of the contributions generated to the grantee organizations through your investment in the CAVU share class.

Gross Revenue from share classes      \$306,810

Total Impact Pledge      \$30,681

#### Grant amounts

- Dog Tag      \$10,227
- Vera Institute of Justice      \$10,227
- Black Girls Code      \$10,227

*\*As of 1/31/2022, all amounts were paid to each organization.*

We at CAVU are very proud of the Impact Pledge and are committed to providing the transparency around the pledge that investors of the share class deserve. We will report on CAVU revenue generated through the product, dollars donated as a function of our pledge commitment and the impact it drives to our downstream philanthropic partners. This is the first of what will be an annual report on the successes, dollars donated and community impact we have been able to achieve through your support.

2021 was an amazing start to the partnership with Invesco. We will continue to grow, and the pledge will drive greater impact in 2022. I am excited at the prospects and extremely grateful for your support. Together, we all can make a difference. On behalf of the entire CAVU team, to the clients and partners that support us – a huge thank you. For those that have not yet come on board, I look forward to having you join our journey.

Sincerely,

Greg Parsons, CEO

## Looking Ahead to 2022 and Beyond

### Invesco Commitment

We are excited to announce our partner Invesco has agreed to match the 2021 Impact pledge amount and will kick off 2022 with a donation of \$31,000 to our 2022 philanthropic partners.

### More Investor Choice

Beginning this year, our investors have greater opportunity to direct grant allocations. Under the program’s revised allocation methodology, 50% of the pledged amount will be divided equally among the three grantee organizations below. With the remaining 50%, every investor will have a say in how this amount gets allocated to the three organizations. The “investor directed” portion will be determined based upon each investor’s pro rata share of assets each quarter.

### Impact Pledge Partners for 2022



### About Us

CAVU Securities brings a highly personalized and consultative approach to institutional cash management. As a certified minority and veteran-owned enterprise, we also help institutional investors to support and improve the lives and opportunities presented to members of minority, women and veteran communities. Our relationships with leading liquidity management providers deliver the benefits of scale with the high-touch approach of a boutique organization.

CAVU Securities Investment Professionals		
<p>Greg Parsons CEO 203.912.3091 <a href="mailto:gparsons@cavusecurities.com">gparsons@cavusecurities.com</a></p>	<p>Yanina Miller Director Community Services 212.612.9049 <a href="mailto:ymiller@cavusecurities.com">ymiller@cavusecurities.com</a></p>	<p>Tim Mullaney Senior Managing Director Cash Management 617.686.0800 <a href="mailto:tmullaney@cavusecurities.com">tmullaney@cavusecurities.com</a></p>
<p>Karin Sheehy Managing Director Cash Management 212.916.3855 <a href="mailto:ksheehy@cavusecurities.com">ksheehy@cavusecurities.com</a></p>		<p>Peter Thompson Managing Director Cash Management 339.236.1536 <a href="mailto:pthompson@cavusecurities.com">pthompson@cavusecurities.com</a></p>