



CAVU

SECURITIES, LLC

2024

# IMPACT REPORT

[www.cavusecurities.com](http://www.cavusecurities.com)

# Rooted in Purpose & Gratitude

CAVU Securities was founded in 2013 with a mission to provide clients with best in class products and services while providing impactful support for members of the veteran and underserved communities. Inspired by the aviation term CAVU or “Ceiling and Visibility Unlimited,” symbolizing limitless potential, we set out to add value for clients in capital markets, cash management, and advisory services. By prioritizing trust and long-term relationships, we provide tailored guidance that empowers our clients to navigate complex financial landscapes with confidence.



Beyond financial success, CAVU is deeply committed to making a meaningful impact. Through our **Impact Pledge**, we dedicate 10% of gross revenue from the CAVU Money Fund share classes and Debt Capital Market (DCM) transactions to organizations with initiatives that support veterans, expand access to education for all ages, and drive positive change in our communities. By aligning our values with our actions, we ensure that our success not only benefits our clients but also creates lasting, meaningful impact where it’s needed most.

“CAVU’s leadership in supporting veterans and underserved communities truly makes a difference in the lives of many. Their ethos and dedication to helping others are far beyond what I have experienced with other firms. Add to this the outstanding service we receive, and it is easy to see why we choose to work with CAVU.”

**Sandra Ramos Alves, SVP & Treasurer at Bristol Myers Squibb**

“The CAVU team is leading the industry with their hands-on work in the nonprofit space. They volunteer with many local organizations and partner with their clients to generate donation dollars to address the immediate needs of individuals nationally. Their commitment to transparency in their nonprofit efforts ensures that every contribution makes a meaningful impact, reinforcing trust and accountability in their mission to give back.”

**Jeffrey Ginsberg, Chief Executive Officer at Volunteers of America Greater New York**



CAVU is honored to partner with clients like you—your trust and collaboration are at the heart of our success. Every achievement in fulfilling our mission is made possible because of your support. While we take great pride in our work, we are even more humbled by the opportunity to stand alongside you in creating meaningful impact. **Together, we can make a difference.**

# A Letter From Our Leader

As we close the chapter on another remarkable year at CAVU Securities, I am filled with gratitude and enthusiasm for the journey ahead. At CAVU, we remain steadfast in our commitment to blending value-added products, services, and effective business practices with meaningful community impact. Thanks to the support of our clients and partners, we strengthened our philanthropic efforts by proudly donating to impactful causes nationwide. This mission has guided us since day one and continues to shape our trajectory as a firm.

In 2024, we amplified our efforts to create opportunities for underrepresented communities and provide unwavering support to our veterans. Through our Impact Pledge, we've been able to make record contributions to organizations that invest in the community in critical sectors. These achievements are only possible because of you - our clients, partners, and nonprofit collaborators - who share our belief that successful business can and should be an agent of positive impact.

The opportunity to make a difference is both humbling and exciting. Looking forward, we are more motivated than ever to drive inclusion, expand our impact, and deliver exceptional results for our clients. Together, we have the power to transform not only markets but also lives, creating a ripple effect that extends far beyond each and every one of us.

None of this would be possible without you, our clients. Thank you for continuing to trust us with your business and for standing with us in the mission to make a difference. To our nonprofit partners, your tireless dedication inspires us every day. And to the CAVU team, your passion and hard work are the foundation of our success and impact.

Let's make 2025 a year of even greater possibilities as we continue to innovate, collaborate, and drive change together. Here's to another extraordinary year of making a difference.

With gratitude,



## GREG PARSONS

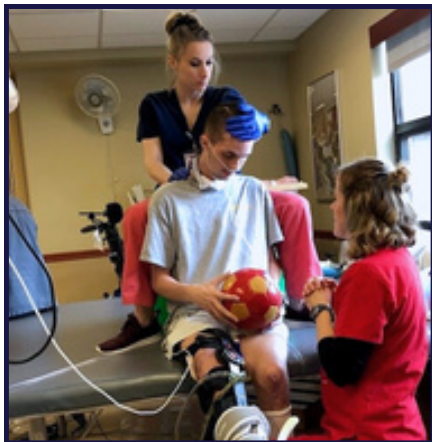
FOUNDER & CHIEF EXECUTIVE OFFICER  
CAVU SECURITIES, LLC  
U.S. Marine Corps Veteran



# Uniting for Good: Your Role in The Mission

At CAVU Securities, the good we accomplish is powered by you—our clients. Through your trust and partnership, you're not just accessing best-in-class financial products and services—you're becoming part of something bigger. Every time you work with us, you're contributing to a mission that matters: making a difference for our nation's veterans and for our underserved communities.

THANK YOU FOR LEADING WITH PURPOSE AND TURNING EVERY ACTION INTO MEANINGFUL CHANGE.



Make no mistake about it, CAVU clients (meaning you and all the members of your organization) are the drivers of our impact.

CAVU Securities is proud to be the conduit for your generosity, and the difference we make together is nothing short of extraordinary. Every time you work with us, you're contributing to a mission that matters: making a difference in the lives of many, from veterans transitioning to civilian life to individuals in underserved communities seeking new opportunities.

“ We partner with CAVU on treasury investments and market engagement. CAVU is a trusted partner in our industry. We value the CAVU approach to service, assisting our team with resources on investment options and the mission they stand for.”

**Dominic Faso, AVP & Global Assistant Treasurer at Pacific Life**



# Our Collective Impact



At CAVU, giving back to the community is ingrained in our mission. Across all our business lines, we align our efforts to create meaningful impact, whether through financial services, advocacy, partnerships, or sweat equity—rolling up our sleeves and actively contributing our time and effort to drive real change

By combining resources, we ensure that donated funds from our impact pledge are strategically distributed to organizations that help those most in need. In 2024, we proudly contributed a total of **\$768,141** to support incredible nonprofits. Our Impact Pledge, as well as additional discretionary donations, focus on areas such as education, transitional veterans, adult disabilities, and more. This unified approach reflects our commitment to making a difference locally and nationally.



## \$768,141

Dollars Donated



## 649

Hours Volunteered

## CAVU IMPACT PLEDGE

CAVU and its employees are deeply committed to our Impact Pledge, which dedicates 10% of gross revenues from the CAVU Money Market Fund Share Classes and the Capital Markets DCM business to nonprofit partners serving veterans and underrepresented communities. Our pledge amount in 2024 totaled **\$605,660**. This pledge is more than a financial commitment—it's a reflection of our core values and the reason so many clients choose to work with us.

### CASH SOLUTIONS

*Doing well and doing good.*



Dollars Donated **\$416,143**

### CAPITAL MARKETS

*Profit with purpose.*



Dollars Donated **\$189,517**

# Driving Impact Through Cash Solutions

The CAVU Securities Money Market Fund Share Classes saw exceptional growth, driven by the steadfast commitment of our clients to fostering meaningful change. This momentum resulted in an outstanding **\$416,143** donated in 2024.

By choosing to invest in these share classes, CAVU investors exemplified the powerful synergy between financial performance and positive impact. The pledge resulted in granted funds to the following three national nonprofits.



“CAVU has been a dedicated partner helping us address the continued challenges of veteran transition. By providing philanthropic support, volunteers, and introducing new partners to our mission, their multifaceted commitment enables us to empower more veterans with the skills and confidence needed to thrive.”

**Maureen Devine-Ahl, Director of Programs & Community Impact at DogTag Inc.**



**Boys & Girls Clubs of America** empowers youth to reach their full potential as caring, responsible citizens.  
Learn more: [www.bgca.org](http://www.bgca.org)

**Dog Tag Inc.** empowers service-disabled veterans, military spouses, and caregivers to better navigate the transition to civilian life.  
Learn more: [www.dogtaginc.org](http://www.dogtaginc.org)

**Girls Who Code** is a nonprofit closing the gender gap in tech by empowering girls with essential computing skills. Learn more: [www.girlswhocode.com](http://www.girlswhocode.com)

Received  
**\$138,714**

Received  
**\$138,714**

Received  
**\$138,714**

# Transforming Communities Through Capital Markets



CAVU Capital Markets is honored to partner with five incredible nonprofits that provide need-based scholarships for military children, advanced recovery treatment for individuals with neurological disorders, and essential support for military personnel, veterans, and their families. In 2024, the pledge generated support totaling **\$189,517** for their charity partners.



“CAVU’s dedication to championing veterans’ transitions and bringing hope to underserved communities truly makes a difference. They are creating opportunities for people to rebuild and support their lives.”  
**Gaby Camargo, Founder at Stay in Step**



**Marine Corps Scholarship Foundation** offers affordable education for children of Marines and Navy Corpsmen pursuing post-high school and technical programs. Learn more: [www.mcsf.org](http://www.mcsf.org)

**Stay In Step**, a veteran-founded nonprofit, provides advanced recovery treatment and long-term rehab for spinal cord injuries, brain injuries, strokes, and paralysis. Learn more: [www.stayinstep.org](http://www.stayinstep.org)



**Volunteers of America**, a national nonprofit, supports vulnerable groups like veterans, seniors, and those facing homelessness or addiction through housing, health services, and outreach. Learn more: [www.voa.org](http://www.voa.org)

**Soldiers’ Angels** provides food security, aid, and resources to military members, veterans, and their families. Learn more: [www.soldiersangels.org](http://www.soldiersangels.org)



**True North** supports military families, underserved communities, and those in need by providing resources and opportunities that create lasting local and national impacts. Learn more: [www.truenorth4heroes.com](http://www.truenorth4heroes.com)

# Staying True to The Mission

CAVU remains committed to delivering exceptional financial solutions that empower our clients to achieve their goals while also providing them with the opportunity and knowledge that they are making an impact in the lives of many.

Our core principles and beliefs will never change. We believe in equal access to opportunity, the power of inclusion, and a meritocratic approach that values ideas, opinions, and performance on their merit. Above all, we recognize the importance of driving positive impact in our communities and the shared responsibility we all have to give back in meaningful ways.

At CAVU, this starts with providing our clients with value-added products and services—ensuring that every partnership is built on a commercially attractive foundation. Every product and service we offer is launched, judged, and managed against this simple yet fundamental premise.

We also believe that driving impact in the community is both a responsibility and an opportunity—one that enhances not just our business, but the businesses of our partners and clients. By aligning our mission with purposeful action, we create opportunities that uplift individuals and strengthen communities.



## CAVU Cares

### FOR OUR CLIENTS

We will continue to deliver exceptional service and solutions that align with your financial goals while contributing to meaningful societal change through our Impact Pledge.

### FOR OUR EMPLOYEES

We are committed to fostering a culture of inclusion, collaboration, and development, providing opportunities to grow professionally while making a difference in the communities we serve.

### FOR OUR PARTNERS

We are expanding our reach and deepening our commitment to philanthropy, with continued plans to support our incredible nonprofits that share our vision of creating a positive, inclusive impact.

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Our 2025 plans are built around the incredible clients we work with daily, all united in achieving a common goal: driving both success and societal impact. It's the collective effort of our clients, employees, and partners that allows us to make a real difference.”

**Greg Parsons, Chief Executive Officer at CAVU Securities**



# Stronger Together

As we continue to grow, we are focused on reaching more people and making an even bigger impact. We know that more can and will be done, and we look forward to partnering with you to ensure that it does.

Whether it's through cutting-edge financial solutions, local volunteer work, or community engagement, CAVU is dedicated to shaping a future where business success and giving back go hand in hand. Together, we're not just building a company—we're building a legacy of impact.



— “

“What sets CAVU apart is not just our dedication to exceptional service, but the heart and passion of the people behind it. The team genuinely cares about making a difference. The incredible people at CAVU are not only experts in their field but also champions of change, using their time, resources, and talents to create a lasting impact in our communities.”

**Albert Kirton, Head of Capital Markets at CAVU Securities**

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## 2025 Impact Partners



BOYS & GIRLS CLUBS OF AMERICA



## Thank YOU

We are filled with gratitude for the incredible partnership we share with you, our clients. Your trust and commitment to working with CAVU has allowed us to make a meaningful impact far beyond business success.

Because of you, we've been able to support extraordinary nonprofits. Together, we have empowered these organizations to continue their vital missions and change lives in ways that truly matter.

Your dedication to making a difference inspires us every day. It's more than a business relationship—it's a shared purpose to create positive change for those who need it most. Thank you for being such an integral part of this journey. We are honored to work alongside you and look forward to continuing to make an even greater impact together.

With heartfelt appreciation,  
The CAVU Team



## Contact Our Team



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